CHILD CARE AND EARLY LEARNING QUESTIONNAIRE



Applicant/Organization Name (Named insured as it reads on policy):				Federal ID #:			
Mailing Address:				County:			
City: Phon Web:	ne:		State: Fax:	Zip: Email:			
Oper	rating as:	Individual For Profit	Partnership Non-Profit	Corporation Govt Facility	Other:		
	utive Director:			Email:			
Contact Person: Annual Receipts: \$ Year Business Started: Have you ever filed for protection under Chapter 11 or Chapter 7 of Bankruptcy code (title 11 US Code)? Yes No Expiring Carrier: Expiring Premium: \$						No	
INS	URANCE INFORM	IATION					
	Has any policy or cov *Missouri applicants	=	elled, or non-renewed duri	ng the last three (3) years?		Yes	No
2. 1							
ı	Policy #:	Effectiv	e/Expiration dates:	Limits:			
	Does your current ins *If yes, what limits?	surance program provide A	Abuse/Molestation coverage	e?		Yes*	No
	Does your current ins *If yes, what limits?	surance program provide P	Professional Liability covera	ge?		Yes*	No
5. 1	Do you have any Clain *If yes, which lines?	ms-Made Coverage?				Yes*	No
	Retro-Date:						
	If you are applying for claims-made coverage, the following important notice applies:						
	NOTICE: THIS IS A CLAIMS MADE AND REPORTED POLICY. THIS POLICY APPLIES ONLY TO THOSE CLAIMS THAT						
	ARE FIRST MADE AGAINST THE INSURED AND REPORTED TO THE COMPANY DURING THE POLICY PERIOD OR EXTENDED REPORTING PERIOD, IF APPLICABLE.						

STAFFING AND OPERATIONS

Does your screening/niring process include	the follo	owing?				
Personal Reference Check	Yes	No	Fingerprinting	Yes	No	
Employment Related Reference Checks	Yes*	No	National Child Abuse Registry Checks	Yes	No	
*If yes, by telephone?	Yes	No	Primary source verification of licensing/certification	Yes	No	
Comprehensive Personal Interviews	Yes	No	Primary source verification of educational status	Yes	No	
National Criminal Record Checks (50 state)	Yes	No	Drug Testing	Yes	No	
Do volunteers follow the same training and	l screeni	ngs as s	staff?	Yes	No	

3. Type of child care operations:

2.

Childcare Center Headstart Nursery/Prek Before/After School Special Needs Montessori/School Sick Child Parent Coop

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Greater than 50% Drop-in Max Grade:

Irwin Siegel Agency | Child Care and Early Learning Questionnaire

ST	AFFING AND OPERATIONS - continued				
4.	Is the center licensed?	Yes	No		
5.	Do you have operations other than childcare?	Yes*	No		
٥.	*If yes, please explain:	103			
	# of Employees				
	Full Time Part Time				
	Day Care Providers				
	Preschool Teachers, not including support staff				
	School/Montessori Teachers, not including support staff				
	Ages # of Children Licensed For # of Care Provid	ers			
	0-1 Year	<u> </u>			
	1-2 Years				
	2-3 Years				
	3-4 Years				
	4-5 Years				
	5-6 Years				
	Over 6 Years				
	Total				
	Max. age accepted in enrollment: Average Daily Attendance:				
	License capacity:				
6.	Are there any Serious Deficiencies noted in most recent State Inspection Report?	Yes*	No		
٠.	*If yes,please attach list and describe.				
7.	What state and national Organization(s) or Association(s) are you a member of?				
8.	How many employees are CPR and first aid certified?				
9.	Does the center care for children with special needs?	Yes*	No		
	*If yes, please provide details:				
10.	Are there pets on the premises?	Yes*	No		
	*If yes, list type and breed:				
11.	Do you have an accident policy in place for enrolled participants?	Yes	No		
	Do you participate in field trips?	Yes*	No		
	*If yes, how many annually?				
13. Are permission slips signed by the parent or guardian for each trip off premises? Yes					
13. Are permission slips signed by the parent or guardian for each trip off premises? Yes Please describe trips:					
14.	At what age can children participate in a field trip without a parent/guardian?				
	Your adult to child ratio on field trips is: adult for every children.				
	Do you utilize swimming facilities?	Yes*	No*		
	*If yes, on premises off premises				
	a. Is there a lifeguard on duty?	Yes	No		
	b. Is there a self latching gate?	Yes	No		
	c. Is there a 4' fence around the pool?	Yes	No		
	d. Is there a pool bottom drain cover?	Yes	No		
	e. Are pool depths marked?	Yes	No		
	f. Is there adequate supervision?	Yes	No		
	g. Is the storage of pool chemicals secure?	Yes	No		
	h. Is the staff trained in water safety?	Yes	No		
	i. Minimum age allowed in the water:	Yes	No		
	*If no:	V			
	a. Do you anticipate swimming facilities in the future?	Yes	No No		
	b. Is there any use of diving boards?c. Are there any swimming trips to oceans or lakes?	Yes Yes	No No		
	c. Are there any swimming trips to oceans or lakes?	162	INU		

STAFFING AND OPERATIONS - continued 17. Is there a playground? Yes* Nο *If yes: a. Is the playground fenced? Yes Nο b. Describe playground surfaces and depths: C. Are there trampolines Yes No d. Is the playground equipment properly maintained and checked on a specific schedule? Yes No Do the play equipment and toys meet the consumer safety and code requirements? Yes Nο f. Does the playground equipment contain any swings, slides, or climbing equipment? Yes No 18. List Special Events (i.e. Special Olympics, Fundraising, Annual Banquet, etc.): **SEXUAL AND PHYSICAL ABUSE** 1. Does your employment application (paid and volunteer) include questions about whether the individual has ever been convicted/pled guilty to, pled no contest to, or admitted to any crime, including but not limited to, sex-related or child-abuse related offenses? Yes No Is there staff training specific to behavioral indicators of abuse? Yes Nο Do you require staff to sign a Code of Conduct which clearly defines unacceptable behavior? Yes No 4. Is there a program in place to teach clients that are minors about abuse? Yes No 5. Do you require two staff members with children at all times? Yes No Do you have a plan of supervision that monitors staff in the day-to-day relationships with clients/children for both on and off premises? Yes No 7. Do you incorporate behavior modification techniques (punishment) that include: physical striking, non-emergency restraining, non-emergency isolation, withholding of sleep, food, or use of bathroom facilities, or similar actions? Yes No Do you have a Crisis Management Plan for dealing with staff personnel, victims, parents, authorities, and media if you have an incident of abuse? Yes No Are there sign in/sign out procedures? Yes No Is security in place to prevent wandering visitors? Yes No 10. Have you ever had an incident which resulted in an allegation of abuse? Yes No Was a claim made against you? Yes* Nο *If yes, please give details below: Was the case settled? Yes No Taken to trial? Yes No State investigation completed? Yes Nο How much money was paid as damages to the victim? 11. Corporal Punishment a. What is the policy on corporal punishment? b. Is there a written policy concerning the use of corporal punishment? Yes Nο Have there ever been any claims for corporal punishment? Yes No What are the state's laws on corporal punishment? Allowed Prohibited **CRIME** Crime Coverage desired (for limits over \$100,000, please submit Crime Acord):

Employee Dishonesty Coverage Limit: \$ Forgery or Alteration Limit: \$

How many employees, other than agents and partners, handle or have custody of Money, Securities, or other properties?

What are their titles?

2. Is an audit performed by: CPA **Public Accountant** Staff Audit frequency: Annual Semi-Annual Quarterly

4. Audit Report rendered to: Owner **Partners Board of Directors**

5. Format is: Audit Review Compilation Tax Return Only 6. Are bank accounts reconciled by someone not authorized to deposit or withdraw? Yes No

No* 7. Is countersignature required? Yes If no, who signs controls?

Are all officers and employees required to take annual vacations of at least 5 consecutive business days? Yes No

SA	AFETY AND RISK MANAGEMENT		
1.	Do you have procedures for Incident Reporting?	Yes	No
	a. Is staff made aware of Incident Reporting Procedures?	Yes	No
	b. Are your program participants instructed on how to report incidents?	Yes	No
	c. Does your agency have an active committee that reviews incidents?	Yes	No
2.	Do the following written plans or protocols exist:		
	Maintenance plan for fire extinguishers and smoke detectors?	Yes	No
	Emergency evacuation plan including monthly drills?	Yes	No
	Written fire safety program including documented weekly inspections?	Yes	No
	Child release protocol?	Yes	No
	Child/sexual abuse prevention program including training?	Yes	No
	First aid/CPR training?	Yes	No
	Written playground safety program including documented weekly inspections?	Yes	No
	Do you limit access to your facility via card or code access?	Yes	No
	Do you require signing of roster by both parent and staff at drop-off and pick-up time?	Yes	No
	Do you have a monitoring system (e.g., cameras) in your facility?	Yes	No
	Do you maintain medical history and immunization records on all children?	Yes	No
	Do you obtain signed releases for emergency medical treatment?	Yes	No
	Do you have a policy on drug and alcohol use/abuse?	Yes*	No
	*If yes, please describe:		
3.	Does your center exit directly to the outside?	Yes	No
4.	Does your center have smoke detectors?	Yes	No
	Are they: battery operated or hard wired to the building		
5.	When were the fire extinguishers last inspected and tagged?		
	Frequency of inspection?		
6.	Has a lead abatement been performed since 1971?	Yes	No
7.	Have asbestos materials: determined <u>not</u> to be present removed or protected to prevent f	laking?	
8.	What method is used to warm baby bottles?		
	Are all bottle warmers, cords, and outlets out of the reach of children?	Yes	No
9.	Do you have a business contingency plan for your facilities?	Yes	No
	Does it include access to an alternative location where you could continue operations		
	in the event of loss to the current location?	Yes	No
	Do you have a generator on site that would allow you to continue operations during a power outage?	Yes	No
10.	Do you have any buildings with EIFS (Exterior Insulation and Finishing Systems)?	Yes*	No
	*If yes, please provide the address(es) of those building(s):		
	a. What is the age of the installation?		
	b. What are the qualifications of the installation contractor?		
	c. Describe the maintenance schedule for checking into issues:		
11.	Do you have any locations with Solar Panels?	Yes*	No
	*If yes:		
	a. Do they produce more than 250 KW?	Yes	No
	b. Please advise the age of the panels:		

TRANSPORTATION/NON-OWNED/HIRED AUTO

Note: if you do not have any owned/leased autos, please skip to question #12. 1. a. Do you order Motor Vehicle Records on all drivers, even if they drive their own autos? Yes No *If yes, are they ordered at least annually? Yes No b. Are there MVR Guidelines in place? Yes No 2. Do you routinely transport children? Yes No Is there a formal, written procedure in place for head count at departure and return for all trips? Yes No Do you allow employees under the age of 21 to transport children? 4. Yes No Do you transport anyone other than children enrolled in your center? Yes* No *If yes, please describe: 6. Total # of owned vehicles: Total # of drivers: Minimum age: 7. Do you allow employees under the age of 21 to drive agency vehicles? Yes No 8. If your center operates buses, is there a bus maintenance program? Yes No* *If no, please skip to question #12. 9. Do the drivers hold the appropriate type of licenses? Yes No 10. Do they have back up drivers that hold the appropriate licenses? Yes No 11. What type of training is provided to drivers of the buses? Please explain: 12. Do any staff members use their own vehicles on a regular basis to drive on behalf of the insured? Yes No 13. Does your criteria for qualifying drivers include safety training and observation of driving skills? Yes No 14. Do you have a driver safety program? Yes Nο 15. Is Driver Training provided? Yes No 16. Are seat belts required to be worn by all occupants? Yes No 17. Do any staff members/volunteers use their own vehicles on behalf of the insured? Yes* No *If yes, please answer questions #18-22. 18. Are personal autos used to transport children? Yes No 19. Do you require employees to provide certificates of insurance verifying personal automobile coverage? Yes Nο Are these records updated annually? Yes Nο 20. Do you require employees to carry minimum liability limits of \$300,000? Yes No* *If no, what limits do you require? 21. Is a visual check made of employees'/volunteers' vehicles to ensure the unit(s) are safe and operational? Yes No 22. Does the facility obtain a copy of drivers licenses and confirm they are valid? Yes No

Please submit the following with this application:

- A complete ACORD submission must accompany this application
- Please provide five (5) years Hard Copy Loss Runs
- A current list of vehicles must accompany this application
- MVRs on all drivers
- Drivers list
- Financials, if more than 5 locations

FRAUD STATEMENTS

NOTICE TO APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT ACT, WHICH IS A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO ALABAMA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO RESTITUTION FINES OR CONFINEMENT IN PRISON, OR ANY COMBINATION THEREOF.

NOTICE TO ARKANSAS, NEW MEXICO AND WEST VIRGINIA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO COLORADO APPLICANTS: IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE, AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AUTHORITIES.

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT.

NOTICE TO FLORIDA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE

NOTICE TO KANSAS APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD, PRESENTS, CAUSES TO BE PRESENTED OR PREPARED WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN, ELECTRONIC, ELECTRONIC IMPULSE, FACSIMILE, MAGNETIC, ORAL, OR TELEPHONIC COMMUNICATION OR STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY FOR PERSONAL OR COMMERCIAL INSURANCE, OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY FOR COMMERCIAL OR PERSONAL INSURANCE WHICH SUCH PERSON KNOWS TO CONTAIN MATERIAL FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO; OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT.

NOTICE TO KENTUCKY APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

NOTICE TO LOUISIANA APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO MAINE APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

NOTICE TO MARYLAND APPLICANTS: ANY PERSON WHO KNOWINGLY OR WILLFULLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY OR WILLFULLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON.

NOTICE TO MINNESOTA APPLICANTS: A PERSON WHO FILES A CLAIM WITH INTENT TO DEFRAUD OR HELPS COMMIT A FRAUD AGAINST AN INSURER IS GUILTY OF A CRIME.

FRAUD STATEMENTS - CONTINUED

NOTICE TO NEW JERSEY APPLICANTS: ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO NEW YORK APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NOTICE TO OHIO APPLICANTS: ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD.

NOTICE TO OKLAHOMA APPLICANTS: WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY (365:15-1-10, 36 §3613.1).

NOTICE TO OREGON APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR, CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, MAY BE GUILTY OF A FRAUDULENT ACT, WHICH MAY BE A CRIME AND MAY SUBJECT SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO PENNSYLVANIA APPLICANTS: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS.

NOTICE TO VERMONT APPLICANTS: ANY PERSON WHO KNOWINGLY PRESENTS A FALSE STATEMENT IN AN APPLICATION FOR INSURANCE MAY BE GUILTY OF A CRIMINAL OFFENSE AND SUBJECT TO PENALTIES UNDER STATE LAW.

SIGNED:		SIGNED:	
	(applicant)		(agent)
DATE:		DATE:	
TITLE:	(must be signed by authorized officer)	TITLE:	(agent)
	,,		(-37
ORGANIZATION:	(Organization's Seal)	ATTEST:	
		PRODUCER:	
		LICENSE NUMBER:	
		ADDRESS:	
	SUBMIT VIA EMAIL	PRINT FORM	